Parametric Study of Hot Rolling Process by the Finite Element Method

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Abstract. In the present investigation, a hot rolling process of AA5083 aluminum alloy is simulated. The approach is based on the thermo-mechanical analysis of the problem using the Finite Element Method (FEM). The temperature distribution in the roll and the slab, the stress, strain and strain rate fields, are extracted throughout a transient analysis of the process. The main hypotheses adopted in the formulation are: The thermo-viscoplastic behavior of the material, expressed by the Przyzma constitutive equation and rolling under plane-deformation conditions. The main variables that characterize the rolling process, such as the geometry of the slab, load, rolling speed, percentage of thickness reduction, initial thickness of the slab and friction coefficient, have been expressed in a parametric form, giving good flexibility to the model. The congruence of the results has been evaluated using experimental and theoretical data available in the literature.

Keywords: Hot rolling process; Plane strain deformation; Sequential coupling; Contact pressure; Effective stress field; Temperature distribution.

INTRODUCTION

The rolling process is one of the most popular processes in manufacturing industries, such that almost 80 percent of metallic equipment has been exposed to rolling at least one time in their production period. Among all kinds of rolling processes, flat rolling is the most practical. In industrial countries, about 40-60 percent of rolling products are produced with this type of rolling. Therefore, many scientists have tried to enhance the quality and quantity of products by optimizing this process and by identifying parameters affecting it, in order to satisfy their customers.

Throughout this century, the rolling process has been analyzed by various analytical and numerical methods such as the slab method, the slip-line field method, the upper bound method, the boundary element method and the finite element method.

In comparison with other methods for analyzing the rolling process, the finite element method is the most practical and accurate. Many models developed using the FEM have been proposed, differing with respect to:

(i) The type of analysis (transient, steady-state);
(ii) The type of formulation (incremental, variational or flow);
(iii) The solution technique (updated Lagrangian, Eulerian);
(iv) The constitutive law hypothesized for the material behaviour (elasto-plastic, elasto-viscoplastic, rigid-plastic, visco-plastic, etc);
(v) The type of discretization (2-D in the case of plane deformation, 3-D in the case of form rolling);
(vi) The type of analysis (mechanical, thermal, uncoupled or coupled thermo-mechanical) \cite{1}.

For example, Mori et al. \cite{2} have developed a finite element method using the assumptions of rigid-plastic and slightly compressible material to predict the velocity field during isothermal steady and unsteady plane strain rolling conditions. Hsu and Lenard \cite{3} have used a finite element method formulation for flat rolling processes to assess the effects of work-roll deformation and various friction conditions on the strain field. Yarita et al. \cite{4} have analyzed the plane strain rolling process utilizing an elastic-plastic finite element model. They have attempted to predict the stress and
strain distribution within the deformation zone using an updated Lagrangian code. Hwang et al. [5,6] have assessed the hot strip rolling process. The temperature distributions in rolled metal and in the work-roll and strain field have been determined in their work. There are also many studies concentrating on evaluating the temperature field during the hot rolling process [7-12]. For example, Hollander [7] has used a one-dimensional FDM model and the assumption of homogenous deformation to estimate temperature distribution during hot strip rolling. Devadas and Samarasekara [8] have predicted temperature distribution in hot strip rolled metal, as well as in the work roll. In their work, the effects of process parameters on the temperature field have been evaluated. Chen et al. [9] calculated the temperature and strain fields by a coupled FEM and FDM code. The kinetic of iron oxidation during hot rolling has also been investigated in their paper. The temperature variations in work-rolls have been considered in a few papers [11,12]. Szuzaic [11] has utilized a two-dimensional finite element method to predict temperature distribution during hot rolling and Teeng et al. [12] have estimated temperature variations in the work-roll, in order to evaluate the thermal stress distribution in the rolls. Duan and Sheppard [13] investigated the influence of the constitutive equation on FE modeling of the hot rolling of aluminum alloy. Serajzadeh et al. [14] investigated strain inhomogeneity in hot strip rolling using a two-dimensional unsteady-state finite element method.

The contact problem has not been much considered in past literature. However, because of its nonlinear nature and its complex condition, it is very important to consider it particularly. Combining the finite element and boundary element methods, Shangwu et al. [15] carried out 3-D modeling of the hot rolling process of flat strips. They predicted rolling force, rolling torque and contact pressure on the roll for both rigid and flexible roll cases. Arif et al. [16] simulated roll and strip interaction for a cold rolling process. The main object of this study is to predict roll stresses and deformation behavior by considering both mechanical and thermal loads. Studying the influence of the number of elements on the tangential and normal components of contact stresses, they showed that the contact stresses are very sensitive to the number of contact elements. Duan and Sheppard [17] besides studying the effect of three friction models considered the contact pressure distribution. They concluded that contact pressure distribution, as a convergence criterion, is greatly sensitive to the number of elements. Phanraj et al. [18] compared the contact pressure of the roll surface for five rolling stands of a steel strip. Dvorkin et al. [19,20] did research on the influence of parameters, such as the coefficient of friction and temperature on the distribution of contact stresses.

In the present paper, the hot rolling process of a strip is simulated using the FE standard code, ANSYS 10.0. The roll is assumed to be rigid and, for the deformable aluminum strip, thermo-viscoplastic analysis through 2-D sequential transient thermal and steady-state structural analyses is carried out. This way, the effect of different process parameters, such as the initial thickness of the strip, rolling speed and thickness reduction, are studied. On the other hand, the effect of the friction coefficient on the contact pressure distribution is investigated.

### MATHEMATICAL MODELING

#### Finite Element Modeling

A 2-D rolling model has been developed to simulate a single pass of the hot rolling process for aluminum alloys using the commercial finite element software package, ANSYS 10.0. An updated Lagrangian method has been employed as a formulation technique throughout use of the software.

The procedure for an FEM analysis of this process could be formulated in an indirect or direct way. In the indirect or uncoupled approach, the mechanical phenomenon and the thermal phenomenon are correlated in sequence, i.e. by applying the results of the thermal model as the body force for the mechanical model [21,22]. The model proposed in this work follows this approach according to the matrix equation [23]:

$$
\begin{bmatrix}
0 & 0 \\
0 & \left[K\right]
\end{bmatrix}
\begin{bmatrix}
\{u\} \\
\{T\}
\end{bmatrix}
+
\begin{bmatrix}
\left[K\right] & 0 \\
0 & \left[K^T\right]
\end{bmatrix}
\begin{bmatrix}
\{u\} \\
\{T\}
\end{bmatrix}
= \begin{bmatrix}
\{F\} \\
\{Q\}
\end{bmatrix}
$$

(1)

where \(\{T\}\) is the vector of the temperature rate, \(\{T\}\) is the vector of the temperatures, \(\{F\}\) is the vector of structural load, \(\{Q\}\) is the vector of the total heat flow (given by the sum of the contributions due to convection, to the surface loads and to the heat generated internally), \(\{u\}\) is the vector of the velocity, \(\{u\}\) is the vector of the displacements, \(\left[K\right]\) is the stiffness matrix and \(\left[K^T\right]\) and \(\left[C^T\right]\) are the total conductivity and the specific heat matrix, respectively.

During the rolling process, the temperature distribution in the strip and the work roll can be calculated using the governing partial differential equation shown in the following equation:

$$
k \nabla^2 T + \dot{q} = \rho c \frac{\partial T}{\partial t}
$$

(2)

where \(\rho \text{ (kg/m}^3\)) is the density, \(c \text{ (J/kg}^{-1}\text{C}^{-1})\) is the specific heat, \(k \text{ (W/m}^{-1}\text{C}^{-1})\) is the thermal conductivity and \(\dot{q} \text{ (W/m}^{-2}\text{)}\) is a heat generation term representing the heat released due to plastic work. It has been established that the temperature distributions in the rolled metal are affected by heat generation, due
to the plastic deformation and friction at the work-roll/strip interface [24]. In order to consider these effects, the heat of plastic deformation is determined by Equation 3.

\[ q = \eta \sigma \dot{\varepsilon}, \]  

(3)

where \( \sigma \) (in MPa) is the effective flow stress, \( \dot{\varepsilon} \) (in \( \text{s}^{-1} \)) is the effective strain rate and \( \eta \) is the efficiency of conversion of deformation energy to heat.

A distributed surface flux, \( q_{\text{fric}} \), is also generated from frictional sliding and rises rapidly near the entry and exit regions along the arc of contact, inducing a dramatic change in the relative slip [22]. Its overall contribution to thermal balance in the hot rolling process is low, but if it is considered, its value is determined as below [10]:

\[ q_{\text{fric}} = |\tau v|. \]  

(4)

where \( \tau \) (in MPa) is the shear stress and \( v \) (in \( \text{mms}^{-1} \)) is the sliding velocity.

Figure 1 shows the sequential solution of the thermal and structural fields and the transition of data between the two analyses.

The work roll geometry is limited to a 90° section, with a thickness of 10 mm and diameter of 300 mm.

Four node thermal elements, PLANE55, were employed on the thermal and structural analyses. The strip is discretized using four node plane strain elements, PLANE182, which is able to consider large deformation. The work roll is assumed to be a rigid material in the structural analysis. A sensitivity analysis was employed to determine the effect of changing mesh density on the predicted model results. The convergence criterion is the distribution of contact pressure on the contact length. The geometry of the sheet and the work roll are shown in Figure 2.

The contact at the roll-plate interface has been modeled using 2-D contact elements.

Contact happens when a node of the contact surface (node of contact) penetrates the target surface, passing beyond the external circumference of the roll. The contact is described, specifying the normal contact stiffness, \( K_N \), the coefficient of friction, \( \mu \), at the roll-plate interface and the thermal contact conductance, TCC. In particular, \( K_N \) is the contact stiffness that acts in a normal direction on the target surface and enforces the displacement compatibility by limiting the penetration of the target base. The thermal contact conductance, TCC, allows consideration of the imperfect contact and the temperature discontinuity across the contact interface.

![Flow chart of finite element modeling](image-url)

Figure 1. The flow chart of finite element modeling.
The augmented Lagrangian method was used as a contact algorithm in the simulation. The contact tractions (pressure and frictional stresses) are augmented during equilibrium iterations, so that the final penetration is smaller than the allowable tolerance (TOLN). Compared to the penalty method, the augmented Lagrangian method usually leads to better conditioning and is less sensitive to the magnitude of the contact stiffness. The contact pressure is defined by:

\[ p = \begin{cases} 
0 & \text{if } u_n > 0 \\
K_N u_n + \lambda_{t+1} & \text{if } u_n \leq 0 
\end{cases} \]  

(5)

where:

\[ \lambda_{t+1} = \begin{cases} 
\lambda_i + K_N u_n & \text{if } |u_n| > \varepsilon \\
\lambda_i & \text{if } |u_n| < \varepsilon 
\end{cases} \]  

(6)

\( \varepsilon \) is compatibility tolerance (known as TOLN on software) and \( \lambda_i \) is the Lagrange multiplier component at iteration \( i \). The Lagrange multiplier component, \( \lambda_i \), is computed locally (for each element) and iteratively.

**Thermal Boundary Condition**

Thermal boundary conditions for the rolling model are needed to describe the heat transfer conditions at the strip centerline at 10 mm from the surface of the work roll and at the contact interface between the strip and work roll. Referring to Figure 1, the boundary conditions in the model are defined as follows:

(i) At the centerline of the strip, symmetry condition is assumed:

\[ -k_{\text{strip}} \frac{\partial T}{\partial y} = 0 \quad \text{at} \quad t > 0, \quad y = 0. \]  

(7)

(ii) At a distance of 10 mm below the work roll surface an adiabatic condition is assumed:

\[ -k_{\text{roll}} \frac{\partial T}{\partial r} = 0 \quad \text{at} \quad t > 0, \quad r = r_{10 \text{mm}}. \]  

(8)

(iii) At the contact interface between the strip and the work roll, an interfacial heat transfer coefficient is assumed:

\[ q_{\text{strip}} = -q_{\text{roll}} = h(T_{\text{strip}} - T_{\text{roll}}). \]

\[ \text{at} \quad t > 0, \quad y = \frac{Y(t)}{2}, \quad \text{or} \quad r = R, \]  

(9)

where \( R \) is the outer radius of roll.

The interfacial heat transfer coefficient, \( h \), is set to 25 kWm\(^{-2}\)K\(^{-1}\) and the heat transfer coefficient between the work piece and the air is set to 0.01 kWm\(^{-2}\) K\(^{-1}\) [13].

**Mechanical Boundary Condition**

A contact boundary condition describing the mechanical interaction of the strip and the roll is imposed along the strip/roll interface. Interfacial friction for the contact area is proportional to normal force, as shown in Equation 10.

\[ \tau_{\text{crit}} = \mu P, \]  

(10)

where \( \tau_{\text{crit}} \) (in Pa) is the critical shear stress, \( \mu \) is the coefficient of friction and \( P \) is contact pressure. A baseline coefficient of friction of 0.5 is used. In the basic Coulomb friction model, two contacting surfaces can carry shear stresses up to a certain magnitude (\( \tau_{\text{crit}} \)) across their interface before they start sliding relative to each other. The state is known as sticking. Once the equivalent shear stress exceeds \( \tau_{\text{crit}} \), the contact and target surfaces will slide relative to each other. This state is known as sliding. The sticking/sliding calculations are determined when a transition from sticking to sliding or vice versa is occurred.

Deformation symmetry in the strip is maintained through a zero displacement boundary condition in the through thickness direction along the strip centerline. This allows a reduction in geometric complexity and reduces computational time.

Rolling speed is introduced in the model by applying a rotational velocity to a pilot node lying at the centre of the roll.

**Material Properties**

The strip is assumed to behave as a thermo-elastoviscoplastic material with a temperature independent elastic modulus of 70 GPa and a Poisson ratio of 0.33. The range of temperatures, strains and strain rates experienced by the material during the rolling process is large. Also, according to Equation 3, during the rolling process, the plastic work causes heat generation in the slab, which is proportional to the strain rate. Hence, it is necessary to define the strip's
plastic behavior as a function of temperature, strain and strain rate. This can be done using the Perzyna equation [23]:

\[ \sigma = \left[ 1 + \left( \frac{\varepsilon^P}{\gamma(T)} \right)^m \right] \sigma_0 (\varepsilon^P, T). \]  

(11)

In this equation, \( \sigma \) is the yield stress, expressed as a function of plastic strain rate, \( \varepsilon^P \), material viscosity parameter, \( \gamma \), strain rate hardening parameter, \( m \), and the static subsequent yield stress, \( \sigma_0 \).

By fitting the Perzyna equation on the experimental data, parameters \( m \) and \( \gamma \) are determined.

To find the constants of the Perzyna equation, the curve of Equation 9 has been fitted to the experimental data. The constants of the Perzyna equation for AA5083 are summarized in Table 1 for different temperatures [13].

The thermophysical properties of AA5083 are shown in Table 2 [25]. The density of the strip was assumed to be constant at 2660 kgm\(^{-3}\) [26].

By considering the thermophysical properties of the strip to be temperature dependent, the thermal equation follows a nonlinear form; hence, the temperature of the previous load step has been applied to the thermophysical properties and, consequently, the thermal equation has been solved.

The thermophysical properties of the work roll are shown in Table 3.

**RESULTS AND DISCUSSION**

**Verification of the Results**

The model developed in this investigation is validated by comparing the model predictions of the rolling force, temperatures and strains with the experimental and theoretical results of Duan and Sheppard [13].

<table>
<thead>
<tr>
<th>Table 1. Summary of Perzyna constant.</th>
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<tbody>
<tr>
<td>( T )</td>
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<td>( m )</td>
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<td>( \gamma )</td>
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<table>
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<tr>
<th>Table 2. Thermophysical properties of AA5083 [25].</th>
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<tr>
<td>Temperature (°C)</td>
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<td>------------------</td>
</tr>
<tr>
<td>14</td>
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<tr>
<td>280</td>
</tr>
<tr>
<td>306</td>
</tr>
<tr>
<td>410</td>
</tr>
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<td>505</td>
</tr>
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They simulated the process with an assumption of the thermo-viscoplastic behavior of material. The rolling pass schedules on their modeling included an entry thickness of 50 mm, a thickness reduction of 5.74%, a rolling temperature of 283 centigrade and a roll radius of 460 mm.

The thermal stress analysis in this problem is accomplished in two different ways. In the first, it is assumed that the temperature change in the slab is not significant and the temperature can be assumed to be constant (isothermal viscoplastic modeling). The second method is based on the analysis of temperature changes in the slab and work roll, followed by a structural analysis for finding the stresses (thermo- viscoplastic modeling). The rolling force obtained by thermo-viscoplastic modeling, isothermal-viscoplastic simulation and the average of experimental rolling force versus time, are shown in Figure 3. It can be seen from this figure that the best agreement, with respect to the experimental results, corresponds to the thermoviscoplastic modeling done by Duan and Sheppard [13]. It can also be seen that the isothermal- viscoplastic modeling underestimates the rolling force.

Computed at the center of the strip, the temperature histories of the present study and the research done by Duan and Sheppard [13] are shown in Figure 4. Paying attention to this figure, it is clear that the temperature at the center of the strip increases with time until it reaches its maximum. This is because of heat generation due to the rate of plastic deformation.

Figure 5 presents the effective strain history of the center and the sub-surface point of the strip. It can be seen that the sub-surface point strain is more than at
\[ H = \frac{R}{t_{\text{in}}} \left[ t_{\text{in}} - t_{\text{out}} \right], \]

where \( R \) is the roll radius and \( t_{\text{in}} \) and \( t_{\text{out}} \) are the input and output thickness of the strip, respectively.

They concluded that:

(i) \( H < 3 \) indicates a double peak contact pressure distribution;

(ii) \( H > 3 \) indicates a friction-hill type [27] contact pressure distribution.

They also indicated that the deformation was more homogeneous for the latter case.

For a case in which \( H = 3 \), the problem is analyzed for three values of the friction coefficient, \( \mu \). In Figure 6a the predicted pressure distribution is plotted. It can be seen from this figure that, as predicted by Li and Kobayashi, there is just one peak or friction hill type [19,20], but the condition, \( \mu = 0.3 \), has a different shape in comparison with other friction coefficients. This can be explained in such a way that a lower friction coefficient increases the sliding of the strip in the deformation zone and, consequently, an

**Contact Pressure**

For flat plate rolling, Li and Kobayashi [26] defined the parameter:

**Figure 4.** History of center point temperature.

**Figure 5.** Strain histories of rolling pass at the (a) Center point, and (b) Sub-surface point.

the center point, which is attributed to the shear strain at the sub-surface of the strip.

**Figure 6.** Distribution of contact component for various friction coefficients. (a) Normal contact stress; (b) Shear contact stress. Initial thickness of 10 mm, rolling speed of 10 rpm, initial temperature of 450°C, and Reduction of 20%.
increase in the bulk of the material at the inlet of this region increases the contact pressure. In Figure 6b, the predicted shear stresses in the plate/roll interface are plotted. In this case, the position of the non-slip point is less sensitive to changes in the friction coefficient \([19, 20]\).

Figure 7 shows the effect of rolling speed on roll force. It is seen that increasing the rolling speed increases the roll force. The rolling speed, i.e. the loading rate, has a major effect on the strain rate. According to the constitutive equation, increasing the strain rate results in hardening that causes the material to be more resistant to deformation. In addition, increasing the strain rate enhances internal heat generation, which results in a softening of the material. However, the increase in contact pressure shows that the effect of hardening is dominant.

Effective Stress

According to the constitutive equation, the parameters affecting stress are strain, strain rate and temperature. The distribution of these parameters and also the material sensitivity to them, can affect stress distribution in the deformation region.

Figure 8 shows the temperature distribution in the deformation zone of the strip. It is observed from Figure 8 that the minimum temperature of the strip occurs at the exit of the deformation zone under the roll, because this point is in contact with the roll in the deformation zone for more time. Figure 9, on the other hand, shows that the maximum strain rate happens at the entry to the deformation zone under the roll, because the deformation in this region occurs suddenly. Therefore, maximum effective stress will occur in one of these two places. With the specified conditions in Figure 10a, it can be seen that maximum effective stress occurs under the roll and at the exit of the deformation region, which has the least temperature. The preceding remark proves that the effect of temperature is dominant.

Rolling speed is an important parameter during hot strip rolling, since this factor directly controls the strain rate, flow stress, roll force, heat of deformation and the interface heat transfer coefficient.

Figure 10b shows that increasing the rolling speed from 10 rpm to 30 rpm causes the point having the maximum stress to get closer to the maximum strain rate point.

Increasing the rolling speed causes the strip and the roll to be in contact for less time. As a result, it decreases the heat flow from the strip to the roll. In addition, if the rolling speed increases, the strain rate will increase as well. Because of increase in the strain rate, the internal heat generation rate enhances. By paying attention to Figure 10b and the preceding remarks, it can be concluded that the effect of the strain rate is predominant in this case.

Temperature

The temperature of the strip, during the rolling process, depends on several parameters, such as interface
heat transfer coefficient, rolling speed and the amount of thickness reduction at each rolling pass.

The temperature history of the strip surface for different thickness reductions are shown in Figure 11a. The temperature distribution of the strip center versus the time is also shown in Figure 11b. From these figures, it can be seen that the minimum temperature of the surface and the maximum temperature of the center do not vary largely in all of the reductions. It can be explained that more reduction results in longer contact length, which increases heat flow from the strip to the roll. On the other hand, high reduction in constant rolling speed can result in more strain and strain rate in the deformation region together with more internal heat generation, due to the rate of the plastic work. Considering the preceding remarks, we can conclude that with this reduction the maximum temperature of the strip center and the minimum temperature of the strip surface are not very much influenced by reduction. However, for other geometries (for example initial thickness of 20 mm as shown in Figure 12), the effect of the plastic work due to the higher reduction on the temperature peak is greater.

Figure 13 shows the temperature history of the surface point and the centerline of the strip for different initial thicknesses. It is seen that, as the initial thickness of the strip increases, the minimum surface temperature decreased. This is also because of an increase in the contact length of the two bodies, which was scrutinized in the preceding paragraph.
Another investigation can be made by comparing the maximum temperature of the strip center at various initial thicknesses. For similar reduction and rolling speed, the strip with more thickness has a higher temperature at the center, because more thickness increases the distance between the strip center and the strip surface from where the heat exits, so more thickness increases the thermal potential of the strip according to the following equation:

\[ Q = \rho V c \Delta T \]

where \( \rho \) (in kg m\(^{-3}\)) is the density, \( c \) (in J kg\(^{-1}\) C\(^{-1}\)) is the specific heat, \( V \) is the volume and \( \Delta T \) is the temperature difference between the strip and the surrounding air. Hence, the temperature reaches higher values during deformation.

**CONCLUSIONS**

The FEM simulation model proposed for the thermomechanical analysis of the hot rolling process is able to estimate the effect of process parameters on the thermal and structural behavior of the roll and the plate, providing good agreement with reported results of experimental and theoretical analyses available in the literature. Noting the results of the FEM simulation, the following conclusions can be drawn:

1. Thermal diffusion at the roll-plate interface in the deformation zone has major effects on the simulation results;
2. The friction coefficient affects the components of the contact stress. Deformation homogeneity depends on the shape of the contact pressure distribution in the deformation zone;
3. The magnitude of the rolling speed affects stress distribution and determines the location of maximum effective stress;
4. The temperature at the center and surface of the strip at various thickness reductions, changes slightly;
5. The amount of initial thickness is another parameter which controls the temperature history. By increasing the initial thickness, the minimum temperature of the strip surface decreases and the maximum temperature of the strip center increases.

**REFERENCES**


